



PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Allen et al.

**Serial No.** : 09/929,886                      **Group Art Unit** : 3692

**Filed** : August 15, 2001                      **Examiner** : Chencinski

**For** : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A  
SECURITIES TRANSACTION***

**AMENDMENT AND REQUEST FOR RECONSIDERATION**  
**(37 C.F.R. § 1.111)**

**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

This Amendment and Request for Reconsideration is submitted with a Request for Continued Examination and in response to an outstanding Office Action (Final Rejection) dated July 5, 2007.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.